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PTO/SB/21 (10-07)  
Approved for use through 10/31/2007. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/774,955	
	Filing Date	01/31/2001	
	First Named Inventor	Daniel MCKENNA	
	Art Unit	2683	
	Examiner Name	Meless NMN ZEWDU	
Total Number of Pages in This Submission	4	Attorney Docket Number	040819CIP5

**RECEIVED**  
**AUG 08 2008**  
**OFFICE OF PETITIONS**

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	QUALCOMM Incorporated		
Signature			
Printed name	Charles D. Brown		
Date	<u>July 31, 2008</u>	Reg. No.	28,285

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Angela Hengenroeder	Date	7/31/08

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

09/774,955

Filing Date

01/31/2001

First Named Inventor

Daniel MCKENNA

Art Unit

2683

Examiner Name

Meless NMN ZEWDU

Attorney Docket Number

040819CIP5

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):NOTIFICATION OF LOSS OF  
ENTITLEMENT TO SMALL ENTITY STATUS

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

QUALCOMM Incorporated

Signature

Printed name

Charles D. Brown

Date

July 31, 2008

Reg. No.

28,285

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Signature

Typed or printed name

Angela Hugenroeder

Date

7/31/08

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PTO/SB/17 (10-07)

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2008

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

**Complete if Known**

Application Number 09/774,955

Filing Date 01/31/2001

First Named Inventor Daniel MCKENNA

Examiner Name Meless NMN ZEWDU

Art Unit 2683

Attorney Docket No. 040819CIP5

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**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 17-0026 Deposit Account Name: QUALCOMM Incorporated

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185

<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<b>Multiple Dependent Claims</b>	
- 20 or HP =	x	=		<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>

HP = highest number of total claims paid for, if greater than 20.

<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 3 or HP =	x	=	

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 100 =	/ 50 =	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Deficiency amount owed, paid Small Entity fee instead of Large Entity.

Fees Paid (\$)

740.00

**SUBMITTED BY**

Signature	<u>Charles D. Brown</u>	Registration No. (Attorney/Agent) 28,285	Telephone 858-651-6731
Name (Print/Type)	Charles D. Brown	Date	<u>July 9, 2008</u>

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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8-4-08

2008 AUG -5 PM 4:43

PATENT

PAC 1.28c

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#09774955

Patent No. : 6,954,641 Confirmation No.: 5552  
Issued : 10/11/2005 Customer No. : 23696  
Docket No. : 040819CIP5 Applicant : QUALCOMM Incorporated

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08/07/2008 DALLEY 00000007 170026 09774955

01 FC:1461

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS  
AND PAYMENT OF DEFICIENCY OWED**

Sir:

Pursuant to MPEP §509.03 and 37 C.F.R. §1.27(g)(2), QUALCOMM Incorporated, a large entity, informs the Patent Office that it has acquired by assignment dated 07/30/2004 the entire right, title, and interest in and to this application and the invention that is the subject thereof. Therefore this application no longer qualifies for small entity status. QUALCOMM Incorporated requests the Patent Office to treat this paper as the specific written assertion of the notification of loss of entitlement to small entity status as set forth in MPEP §509.03 (VII). Accordingly, the assignee will be paying large entity fees from this point forward.

In addition, the assignee supplements this notification pursuant to 37 C.F.R. §1.28(c) by submitting herewith an additional fee amount of \$740.00 for the deficiency of payments erroneously paid under the small entity fee schedule, itemized on the following page.

**CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Depositor's Name:

Angela Henningsen  
(type or print name)

Date:

7/31/08

Document	Small Entity Fee Erroneously Paid/Date	(Current) Large Entity Fee Amount	Deficiency Amount Owed
Issue Fee Payment	\$700.00 on August 7, 2005	\$1,440.00	\$740.00

The delay in notifying the U.S. Patent and Trademark Office of the status change, and not paying the large entity fees, was unintentional and without deceptive intent. The USPTO is hereby authorized to charge Deposit Account 17-0026 for \$740.00 + (any other itemized fees). If any additional fees are required, the Commissioner is authorized to charge Deposit Account 17-0026 for said fees.

Respectfully submitted,

Dated July 31, 2008

Charles D. Brown

Charles D. Brown, Reg. No. 28,285  
Attorney for Assignee  
Phone No. 858.651.6731

QUALCOMM Incorporated  
Attn: Patent Department  
5775 Morehouse Drive  
San Diego, California 92121-1714  
Telephone: (858) 658-5787  
Facsimile: (858) 658-2502